**Status of Annual Compliance Audit reports:**

|  |  |  |  |
| --- | --- | --- | --- |
| **Sr. No** | **Financial Year**  | **Compliance Audit Status** | **Remarks, if any** |
| 1 | **2020-21** | Conducted | The Principal Officer as well asemployees associated with investment advice have procured minimum qualification as well as certification requirements except for some instances. |
| 2 | **2021-22** | Conducted | The Principal Officer as well asemployees associated with investment advice have procured minimum qualification as well as certification requirements except for some instances. |
| 3 | **2022-23** | Conducted | The Principal Officer as well asemployees associated with investment advice have procured minimum qualification as well as certification requirements except for some instances. |